# How Punitive Are Russians?

INSIGHTS FROM A NATIONAL SURVEY ON LAW ENFORCEMENT IN RUSSIA

PONARS Eurasia Policy Memo No. 757 March 2022

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The question of how state punishment is enacted in Russia is in the spotlight. The jailing of protesters and opposition leaders — emblematized by anti-war rallies, the case of Alexei Navalny, and prison abuse <a href="scandals">scandals</a>— has led to heightened interest in criminal justice and prison systems as tools of oppression in a context of growing authoritarianism. The invasion of Ukraine, launched while writing this Policy Memo, is being accompanied by another sharp punitive turn. Draconian prison terms await those who oppose the war, and there are discussions about the reintroduction of the death penalty. When it comes to the demand for punishment, is Russia a punitive society in global terms, and who is punitive in Russia? On the supply of punishment, do state practices of punishment, and, in particular, the use of prison, match public sentiment?

We show that Russian society is, in global terms, relatively punitive in its preference for prison for offenders, but not in relation to the goal of that punishment or in attitudes to particular penal policies such as the death penalty. Preference for prison correlates with certain forms of conservatism. In terms of state policy, Russia incarcerates offenders at very high levels comparatively, yet the use of prison has been significantly declining in the last 20 years. This observation requires certain caveats. Russian prisons, according to Russian public opinion and international expert <u>sources</u>, remain inhumane places that succeed in inflicting pain and fail in their functions of rehabilitation, protection, and deterrence.

#### What Is Punitiveness, and How Can We Measure It?

We adopt a framework for punitiveness <u>developed</u> by An Adriaenssen and Ivo Aertsen (2015) that measures societal attitudes along four dimensions:

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- 1. **Goal** of punishment (retribution, protection, deterrence, rehabilitation)
- 2. **Form** of punishment (incarceration, reconciliation, community sentences)
- 3. **Intensity** of punishment (length of sentences, size of fines, strictness of prisons, alternative sanctions)
- 4. **Attitudes** to specific policies (political disenfranchisement for felonies, death penalty, possibility of parole)

To investigate attitudes in Russia along these dimensions, we utilize results from a nationally representative survey we conducted in 2019 that combined questions about punitiveness as well as broader social values as part of a broader <u>investigation</u> of the culture of punishment in Russia and Kazakhstan. In looking at the supply side of punishment, we use the prison rate—how many people are held in confinement per one hundred thousand of the population—taken from the <u>World Prison Brief</u>, a respected resource that tracks prison populations across the globe. The prison rate is undoubtedly a flawed measure since it does not foreground prison conditions or the length of prison sentences. It does, however, provide a rough overall proxy for measuring changes in the myriad political responses to crime. First, a look at the demand side.

# **Demand Side: Popular Punitiveness**

#### Goal of Punishment

Our survey shows that, in terms of incarceration, Russians believe that prisons should protect the public, deter crime, and rehabilitate offenders. Few respondents think that offenders should be treated harshly or differently from other people. **Table 1** shows the percentage of respondents "strongly agreeing" to questions about the purpose of prison:

Table 1. Punitive Goals

Purpose of punishment	Statement	"Strongly Agree"
Protection of the public	Prison should isolate	32 percent
	prisoners from society	
Infliction of pain	Offenders should be treated	13 percent
	harshly	
Rehabilitation	Prison should help	39 percent
	prisoners become law-	
	abiding	
Deterrence	Prison should deter others	44 percent
	from committing a crime	

Respondents do not necessarily think that their prisons perform these desired functions particularly well, however, as **Table 2** below shows.

Table 2. Societal Performance

Performance of punishment	Question	"Very well"
Protection of public	How well does prison	15 percent
	isolate prisoners from	
	society?	
Rehabilitation	How well does prison	7 percent
	help prisoners become	
	law-abiding?	
Deterrence	How well does prison	13 percent
	deter others from	
	committing crime?	

In contrast, respondents perceive Russian prisons as effective at inflicting pain on offenders, as **Table 3** shows.

**Table 3. Treatment Performance** 

Performance of Punishment	Question/Statement	"Strongly Agree"
Prison conditions	Prisoners have too easy	6 percent
	a time of it in prison	
Effect of prison on offenders	Most people come out	23 percent
	of prison worse than	
	when they go in	
Treatment in prison	How good are prisons	6 percent
	at treating prisoners like	("very good")
	normal human beings?	

### Form of Punishment

Given that Russians are against prison inflicting pain yet believe that this is the only thing prisons do efficiently, it is perhaps surprising to find that respondents have a strong preference for sending offenders to prison. When asked whether *all* convicted offenders should be given a prison sentence, 56 percent of respondents agreed or strongly agreed. Provided with a vignette of a 25-year old man convicted of burglary for the second time, 80 percent of respondents would pass a prison sentence rather than a suspended sentence, fine, community sentence, or other punishment. This figure puts the preference for prison in Russia at around twice the global average compared to responses to a similar question in the various International Crime and Victimization Surveys (ICVS) conducted around the world. It is around 20 percent higher than the European average in response to the exact same question in the 2010 European Social Survey (ESS).

Societal-level predictors of preferences for prison in the ICVS data include high levels of crime and inequality—both characteristics of Russian society. Yet, Russians' prison preference is higher than in countries such as Mexico (60 percent prison preference),

where the problems of crime and inequality are just as, or even more, acute. Other post-communist countries in the ESS score highly in prison preferences, so it may be that the high prison rates of communist regimes produced a normalization of imprisonment as a default response to crime in these countries (see further below). It is also worth noting that the figure of the "thief-recidivist" is a cultural trope in Russia connected to organized crime, so the supposedly neutral ICVS and ESS vignette might inadvertently trigger a severe reaction in Russian respondents.

Intensity of Punishment and Specific Penal Policies

Of the 80 percent of respondents in our survey who would send the offender to prison in response to the above vignette, 37 percent would make the sentence five years or longer. In this vein, respondents also reported a preference for the courts to pass down harsher punishments for offenders. Around 37 percent of respondents think that sentences are too lenient compared to 36 percent who think they are about right, and 27 percent who think sentences are too harsh. It is not clear if a harshening of sentences would translate as a preference for more and longer prison sentences, though this seems like a safe inference given responses to the question about recidivist burglars.

In terms of specific penal policies, attitudes to capital punishment are often considered a good proxy for punitiveness more generally. Since 1999 there has been a full moratorium on the use of the death penalty in Russia. The moratorium is often <u>seen</u> as a policy that contradicts public opinion. Indeed, at the time of the collapse of the Soviet Union in 1991, the death penalty for the crime of murder was, <u>according</u> to the International Social Survey Programme, more popular in Russia than anywhere else in the world with the exceptions of Hungary and the United States (73, 78, and 75 percent agreeing or strongly agreeing, respectively). Fast forward to today, and Russian support for the death penalty as a suitable punishment for murder has dropped faster than in, say, the United States. In 2019, 49 percent of Russians were generally supportive of the use of the death penalty for murder compared to 60 percent of Americans in the 2018 General Social <u>Survey</u>.

#### Who is Punitive?

With which social values does punitiveness correlate? A principal component analysis of responses to moral values items taken from the World Values Survey shows three interrelated latent variables for conservatism: attitudes to traditional families and work; patriarchal attitudes; and attitudes to minorities such as migrants and LGBTQ+ people. Correlating these variables with our survey's ten-item index covering the four theoretical components of punitiveness discussed above, we find that traditional attitudes towards family and work correlate positively and relatively strongly with punitiveness. But patriarchal conservatism does not. Surprisingly, xenophobic and homophobic attitudes are actually negatively correlated with punitiveness, though the relationship is weak. In terms of policy preferences—using government spending preferences taken from the

International Social Survey Project (Role of Governance) — we find a preference for public spending on national defense correlates positively with almost all punitive variables. However, interestingly, there is no clear relationship between punitiveness and the preference for more spending on law enforcement.

## Supply Side: Punishment Trends in Russia

The Russian Federation has maintained historically high incarceration rates in global terms. Throughout the 1990s, for example, Russia held more prisoners per capita than anywhere in the world, with the exception of the United States. Today, at 322 per 100 thousand persons, Russia's prison rate is nearly half that of the United States, and the trend is downwards: From 2000 to 2018, Russia's prison rate declined by 45 percent (see figure below). The overall prison population fell from over 1,000,000 prisoners in 2000 to 466,000 prisoners in 2022. This occurred alongside only moderate decreases in contact (arrests) rates, suggesting that the drop in prison numbers is not the product of declining detected crimes. Instead, the massive amnesties in 2000 and 2001 (which released a quarter-million prisoners), liberalization of the criminal code, the prosecutorial diversion of offenders from the court system, and a less punitive judiciary that more than halved the number of defendants sent to prison each year (from 380,000 in 2000 to 150,000 in 2020), are behind the trend.

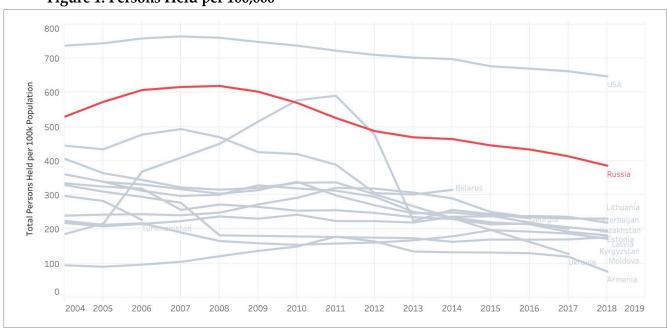


Figure 1. Persons Held per 100,000

Notes: Data UNODC <u>Crime Statistics</u>; total persons held per 100 thousand; selected former Soviet Union states with U.S. comparator.

The prison population reduction does not apply to all types of prisoners: Memorial reports an increase in the numbers of political prisoners between 2020 and 2021. Moreover, despite the decline, Russia still has by far the highest prison rate of all Council of Europe members, a rate three times higher than the EU average. In comparison with other CoE countries, Russia has a prison death rate almost twice the average, spends the least per prisoner and has the highest prisoner-to-staff ratios. While prisoner numbers are decreasing, prison conditions are not improving.

What is the relationship between public punitiveness and the prison rate? In the figure below, we take incarceration rates for the year 2010 from the World Prison Brief, ranking countries according to their scores (lowest to highest), and plot these rankings against ranked scores of percentages of respondents choosing prison for a 25-year-old second offense burglary in the 5th Wave ESS (2010). Countries with similar histories and cultures tend to cluster together. Post-Soviet countries form one such cluster, exhibiting the greatest demand and supply of punishment in Europe, with post-communist eastern European jurisdictions clustering nearby. Russia's prison rate correlates with prison preferences in the population.

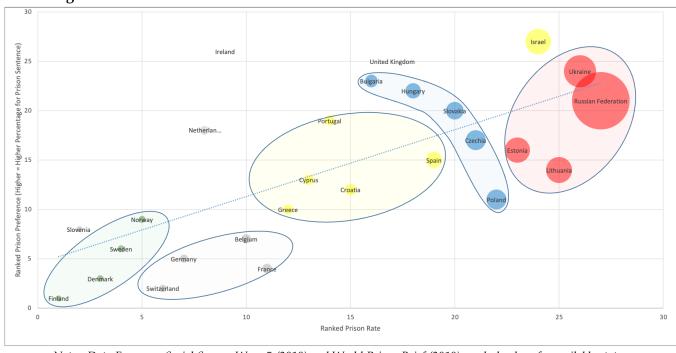


Figure 2. Worlds of Punitiveness

Notes: Data European Social Survey Wave 5 (2010) and World Prison Brief (2010); ranked values for available states. X-axis: prison rates per 100 thousand; Y-axis: ranked weighted percentages selecting prison sentence for the item, "Which sentence: 25-year-old male, house burglary, a second time."

However, in the ten years since the 5<sup>th</sup> Wave ESS was conducted, Russia's prison rate has started to diverge from popular prison preferences. Criminologists in Western democracies have long theorized, and in some cases demonstrated, that public opinion is a driver of penal policy. In democracies, politicians compete electorally over who can meet the demand for law and order. Authoritarian leaders, in contrast, have a different set of incentives. Many former Soviet jurisdictions where authoritarian trends have been evident in the past twenty years—Belarus, Russia, Kazakhstan, and Uzbekistan, for example—have conducted some of the deepest and quickest cuts to prison rates in the region. A theory of authoritarian prison downsizing is called for.

#### Conclusion

While Russia remains a punitive state — proxied as it is here — with a peculiar relationship to the practice of incarceration, this relationship is changing. Russians no longer stand out globally in their support for the death penalty. Russians do not want prisoners to undergo poor conditions or inhumane and harsh treatment while serving prison sentences. They understand that Russian prisons remain cruel institutions that make people worse and fail to protect, deter, or rehabilitate. On the other hand, in global terms, Russians still have a very strong preference for sentencing criminals to prison terms—at least as a go-to option. This is in conflict with state policy—the overall prison rate has been in decline for 20 years; fewer people are in prison in Russia today than at any time since the Soviet Union disappeared.

While the declining prison rate should be welcomed and encouraged by the international community, it must not deflect from the failures of the prison system evident to ordinary Russians—high recidivism rates (60 percent), continued inhumane treatment of prisoners, regular cases of torture, and poor prison conditions. Moreover, policymakers should recognize that authoritarian penal policy is driven by an executive that can easily reverse the prison rate trend as and when a populist leadership finds it expedient to realign state punitiveness with popular sentiment. Our findings, as concerns the relationship between various measures of social values and punitiveness (forthcoming), further suggest a much more complex relationship between concepts of punitiveness, conservatism, and other attitudes within Russian society than has been previously reported.



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